

# Redefine Compliance Monitoring at Your Firm

We've been empowering wealth management firms to establish and demonstrate a fiduciary process through our proven oversight tools for nearly 2 decades.

8.9M  
flags  
triggered

Approx  
350,000  
reviews

175  
different  
asset classes  
monitored

\$0  
in regulatory  
fines & legal  
expenses

1+  
Trillion \$  
client AUM

100+ wealth  
management  
firms

15+  
years  
experience

InvestEdge offers  
**the optimal  
solution**  
for  
regulatory  
reviews

*\*Account data  
is based on information  
collected in 2018.*

## Our solution will help you...



### Save Time:

Data unified into a single interface for one or multiple investment programs.



### Reduce Risk:

Identify risks across a portfolio or household every day for all clients. Notate and track open exceptions.



### Save Money:

Reduce volume of work and route tasks from front office to middle office.